



## Privacy Policy Notice

### ***Our Promise to You***

As our client, you share your personal and financial information with us. Your privacy is important to us, and we are dedicated to safeguarding both your personal and financial information.

### ***Information Provided by Clients***

In the normal course of doing business, we need accurate and complete information in order to provide you with the most suitable and on-target financial advice. For that reason, we typically collect the following nonpublic information from clients via questionnaires, applications, tax returns, financial statements, and other means.

- Personal information regarding our clients' identity such as name, address, date of birth and Social Security number;
- Personal information regarding our clients' goals, objectives, family situation, risk tolerance and retirement needs;
- Client financial information such as net-worth, assets, income, expenses, bank account information, financial accounts, tax returns and account balances.

### ***How We Manage and Protect Your Personal Information***

All customer information is for our firm's internal and confidential use. We do not and will not sell information about current or former clients to third parties, nor is it our practice to disclose such information to third parties, except in the following circumstances:

- As necessary to provide service that the client has requested or authorized, or to maintain and service the client's account;
- As required by regulatory authorities or law enforcement officials who have jurisdiction over Adam Financial Associates Inc., or as otherwise required by any applicable law; and
- To the extent reasonably necessary to prevent fraud, unauthorized transactions or liability.



### ***Safeguarding and Disposal of Client Information***

We treat the confidentiality of customer information with the utmost seriousness. Our Privacy Policy restricts the use of client information and requires that it be held in strict confidence. In order to protect you, we maintain administrative, technical, and physical safeguards to protect your personal information. We take precautions to ensure the information we collect about you is protected and is accessed only by authorized individuals.

We restrict access to personal information by our employees. Our employees are trained about privacy and are required to safeguard personal information. Employees are prohibited, either during or after termination of their employment, from disclosing nonpublic personal information to any person or entity outside Adam Financial Associates Inc., including family members.

### ***Client Notifications***

We are required by law to annually provide you with a notice describing our privacy policy. In addition, we will inform you promptly if there are changes to our policy.

If you have questions or concerns regarding our privacy policy, we urge you to discuss them with us at 561-417-0001.

